

# Theory of Seismic Waves

## (Part 1)

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# Chapter 1

## Basic Elasticity Theory

### 1.1 Stress

#### Type of Forces

- body forces
  - forces proportional to the volume of the object
  - e.g.) gravity
- contact forces
  - forces proportional to surface area
  - e.g.) pressure in a fluid

#### Definition of Traction

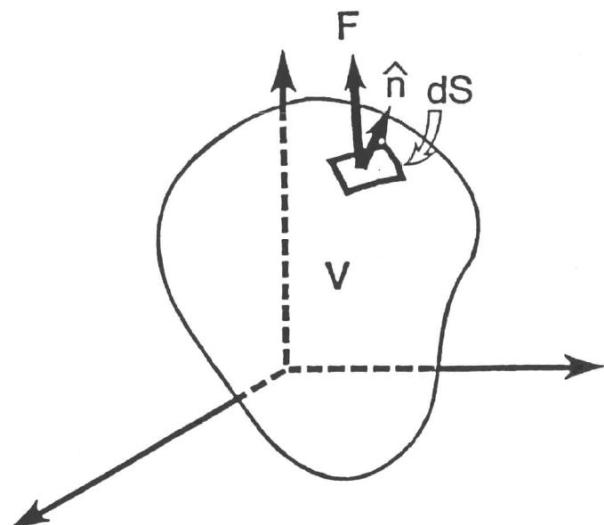


Figure 1.1 (from Geller, 1993)

- a small volume  $V$  within a larger continuous medium.
- surface force  $\mathbf{F}$  acting on an element of surface  $dS$
- an outward unit normal vector  $\mathbf{n}$

Traction  $\mathbf{t}$  for the normal vector  $\mathbf{n}$  is defined as

$$\mathbf{t}(\mathbf{n}) = \lim_{dS \rightarrow 0} \frac{\mathbf{F}}{dS}.$$

### Definition of Stress

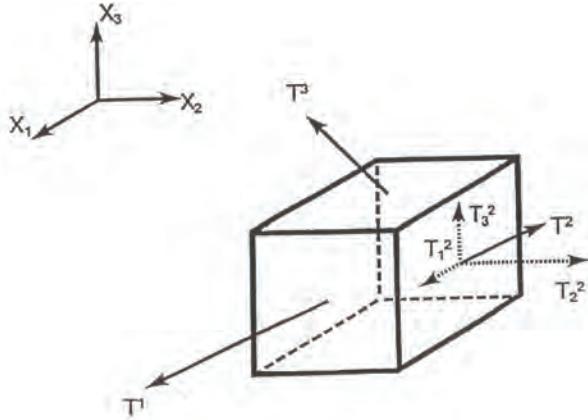


Figure 1.2 (from Geller, 1993)

$\mathbf{t}^{(j)}$  is traction for a normal vector in the  $x_j$  direction

$$\sigma_{ij} = \begin{pmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{pmatrix} = \begin{pmatrix} t_1^{(1)} & t_1^{(2)} & t_1^{(3)} \\ t_2^{(1)} & t_2^{(2)} & t_2^{(3)} \\ t_3^{(1)} & t_3^{(2)} & t_3^{(3)} \end{pmatrix}$$

A general way of describing the forces acting within the body

### Sign of Stress Components

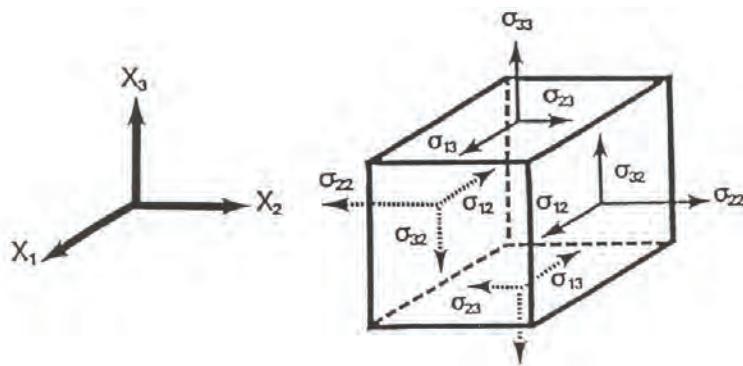


Figure 1.3 (from Geller, 1993)

- a positive stress is a force pointing in the direction associated with the outward normal.
- $\sigma_{11}, \sigma_{22}, \sigma_{33}$ : normal tractions  
( $\sigma_{12}, \sigma_{13}, \sigma_{21}, \sigma_{23}, \sigma_{31}, \sigma_{32}$ : shear tractions)
- positive normal traction = tension  
negative normal traction = compression

## Traction on an Arbitrary Surface

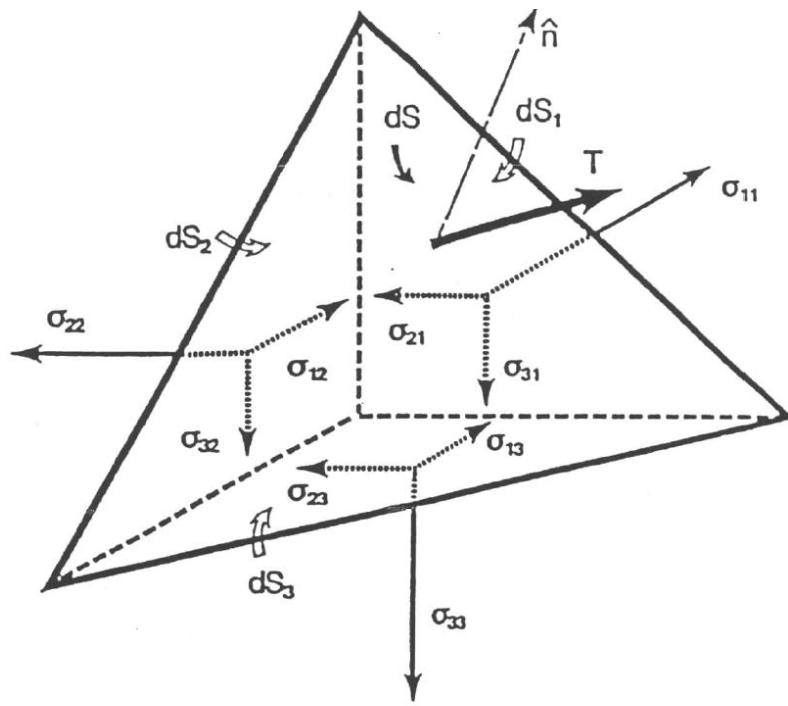


Figure 1.4 (from Geller, 1993)

Consider the infinitesimal tetrahedron with an arbitrarily oriented surface (area:  $dS$ , normal vector:  $\mathbf{n}$ ).

$$t_i = \sum_{j=1}^3 \sigma_{ij} n_j$$

## Nature of Stress

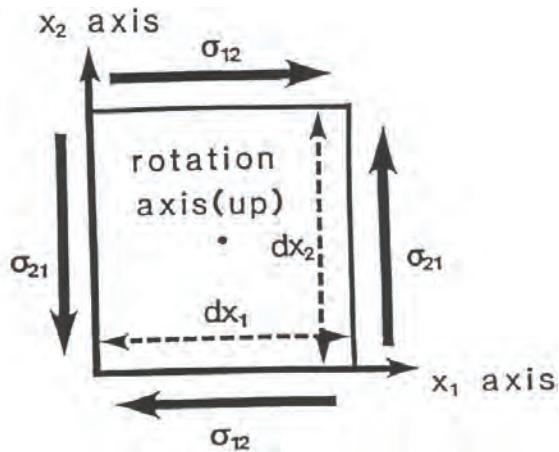


Figure 1.5 (from Geller, 1993)

$$\sigma_{ij} = \sigma_{ji}$$

(net torque should be zero)

## 1.2 Strain

### Definition of Strain

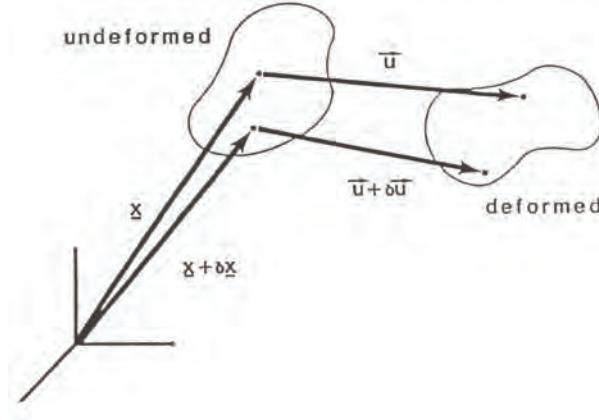


Figure 1.6 (from Geller, 1993)

< differential motion of adjacent particles >

$$\begin{aligned}\delta u_i(\mathbf{x}) &= u_i(\mathbf{x} + \delta\mathbf{x}) - u_i(\mathbf{x}) \\ &= \sum_{j=1}^3 u_{i,j}(\mathbf{x}) \delta x_j\end{aligned}$$

We decompose as

$$\delta u_i = \sum_{j=1}^3 (e_{ij} + w_{ij}) \delta x_j,$$

where

$$\begin{aligned}e_{ij} &= \frac{1}{2} (u_{i,j} + u_{j,i}), \quad \text{and} \\ w_{ij} &= \frac{1}{2} (u_{i,j} - u_{j,i}).\end{aligned}$$

$w_{ij}$ : components of rigid rotations

$e_{ij}$ : components of deformations (**strain tensor**)

$$e_{ij} = \begin{pmatrix} u_{1,1} & \frac{1}{2}(u_{1,2} + u_{2,1}) & \frac{1}{2}(u_{1,3} + u_{3,1}) \\ \frac{1}{2}(u_{2,1} + u_{1,2}) & u_{2,2} & \frac{1}{2}(u_{2,3} + u_{3,2}) \\ \frac{1}{2}(u_{3,1} + u_{1,3}) & \frac{1}{2}(u_{3,2} + u_{2,3}) & u_{3,3} \end{pmatrix}$$

$$(\text{dilatation: } \theta = \sum_{i=1}^3 e_{i,i} = \sum_{i=1}^3 u_{i,i} = \Delta V/V)$$

Note

$$e_{ij} = e_{ji}.$$

## Various Displacement Fields

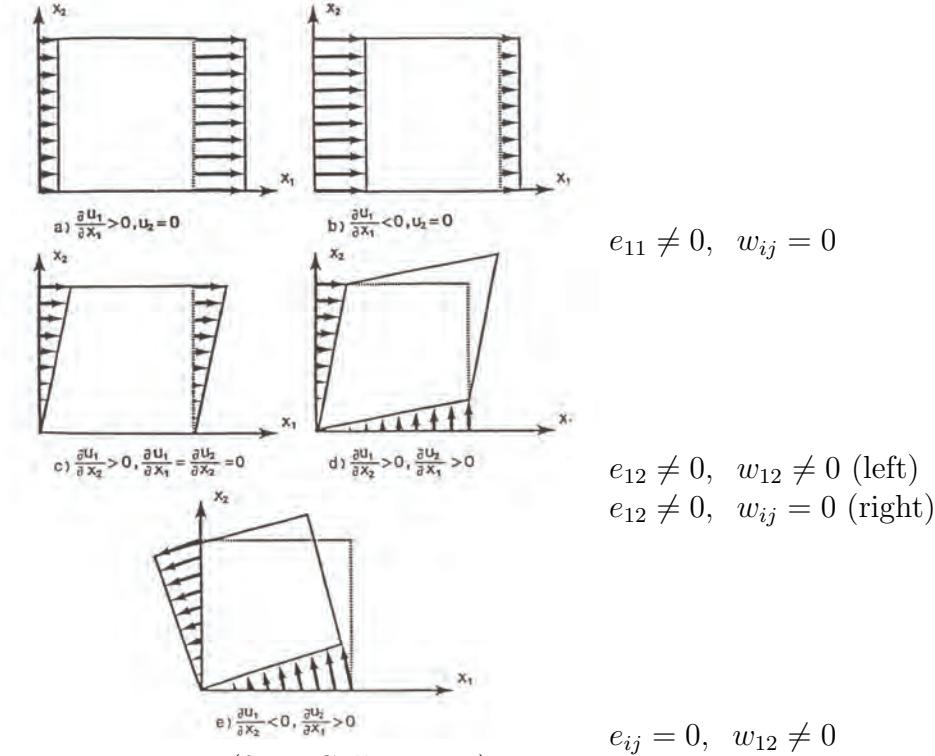


Figure 1.7 (from Geller, 1993)

## 1.3 Basic Equations

### 1.3.1 Constitutive Equations

Hooke's law (isotropic media)

$$\sigma_{ij} = \delta_{ij} \sum_{k=1}^3 \lambda e_{kk} + 2\mu e_{ij}$$

2-D case

$$\begin{pmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{12} \end{pmatrix} = \begin{pmatrix} \lambda + 2\mu & \lambda & 0 \\ \lambda & \lambda + 2\mu & 0 \\ 0 & 0 & \mu \end{pmatrix} \begin{pmatrix} e_{11} \\ e_{22} \\ 2e_{12} \end{pmatrix}$$

3-D case

$$\begin{pmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \\ \sigma_{31} \\ \sigma_{12} \end{pmatrix} = \begin{pmatrix} \lambda + 2\mu & \lambda & \lambda & 0 & 0 & 0 \\ \lambda & \lambda + 2\mu & \lambda & 0 & 0 & 0 \\ \lambda & \lambda & \lambda + 2\mu & 0 & 0 & 0 \\ 0 & 0 & 0 & \mu & 0 & 0 \\ 0 & 0 & 0 & 0 & \mu & 0 \\ 0 & 0 & 0 & 0 & 0 & \mu \end{pmatrix} \begin{pmatrix} e_{11} \\ e_{22} \\ e_{33} \\ 2e_{23} \\ 2e_{31} \\ 2e_{12} \end{pmatrix}$$

**Hooke's law (general media)**

$$\boxed{\sigma_{ij} = \sum_{k=1}^3 \sum_{l=1}^3 C_{ijkl} e_{kl}}$$

$C_{ijkl}$ : elastic moduli  
(4-th order tensor, 81 components)

1-D string case

$$\sigma = C e (= C u_{,x})$$

2-D case

$$\begin{pmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{12} \\ \sigma_{21} \end{pmatrix} = \begin{pmatrix} C_{1111} & C_{1122} & C_{1112} & C_{1121} \\ C_{2211} & C_{2222} & C_{2212} & C_{2221} \\ C_{1211} & C_{1222} & C_{1212} & C_{1221} \\ C_{2111} & C_{2122} & C_{2112} & C_{2121} \end{pmatrix} \begin{pmatrix} e_{11} \\ e_{22} \\ e_{12} \\ e_{21} \end{pmatrix}$$

3-D case

$$\begin{pmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{23} \\ \sigma_{31} \\ \sigma_{12} \\ \sigma_{32} \\ \sigma_{13} \\ \sigma_{21} \end{pmatrix} = \begin{pmatrix} C_{1111} & C_{1122} & C_{1133} & C_{1123} & C_{1131} & C_{1112} & C_{1132} & C_{1113} & C_{1121} \\ C_{2211} & C_{2222} & C_{2233} & C_{2223} & C_{2231} & C_{2212} & C_{2232} & C_{2213} & C_{2221} \\ C_{3311} & C_{3322} & C_{3333} & C_{3323} & C_{3331} & C_{3312} & C_{3332} & C_{3313} & C_{3321} \\ C_{2311} & C_{2322} & C_{2333} & C_{2323} & C_{2331} & C_{2312} & C_{2332} & C_{2313} & C_{2321} \\ C_{3111} & C_{3122} & C_{3133} & C_{3123} & C_{3131} & C_{3112} & C_{3132} & C_{3113} & C_{3121} \\ C_{1211} & C_{1222} & C_{1233} & C_{1223} & C_{1231} & C_{1212} & C_{1232} & C_{1213} & C_{1221} \\ C_{3211} & C_{3222} & C_{3233} & C_{3223} & C_{3231} & C_{3212} & C_{3232} & C_{3213} & C_{3221} \\ C_{1311} & C_{1322} & C_{1333} & C_{1323} & C_{1331} & C_{1312} & C_{1332} & C_{1313} & C_{1321} \\ C_{2111} & C_{2122} & C_{2133} & C_{2123} & C_{2131} & C_{2112} & C_{2132} & C_{2113} & C_{2121} \end{pmatrix} \begin{pmatrix} e_{11} \\ e_{22} \\ e_{33} \\ e_{23} \\ e_{31} \\ e_{12} \\ e_{32} \\ e_{13} \\ e_{21} \end{pmatrix}$$

### Symmetry of Elastic Moduli

Because  $\sigma_{ij} = \sigma_{ji}$  and  $e_{kl} = e_{lk}$ ,

$$C_{ijkl} = C_{jikl} \quad \text{and} \quad C_{ijkl} = C_{ijlk}.$$

81 → 36 independent components

We usually further assume

$$C_{ijkl} = C_{klij}.$$

36 → 21 independent components

2-D case

$$\begin{pmatrix} C_{1111} & C_{1122} & C_{1112} \\ C_{2222} & C_{2212} & \\ C_{1212} & & \end{pmatrix} \text{ are independent components.}$$

3-D case

$$\begin{pmatrix} C_{1111} & C_{1122} & C_{1133} & C_{1123} & C_{1131} & C_{1112} \\ C_{2222} & C_{2233} & C_{2223} & C_{2231} & C_{2212} & \\ C_{3333} & C_{3323} & C_{3331} & C_{3312} & & \\ C_{2323} & C_{2331} & C_{2312} & & & \\ C_{3131} & C_{3112} & & & & \\ C_{1212} & & & & & \end{pmatrix} \text{ are independent components.}$$

### 1.3.2 Dynamic Equation of Motion

Consider a block of material bounded by surfaces parallel to the coordinate axes.

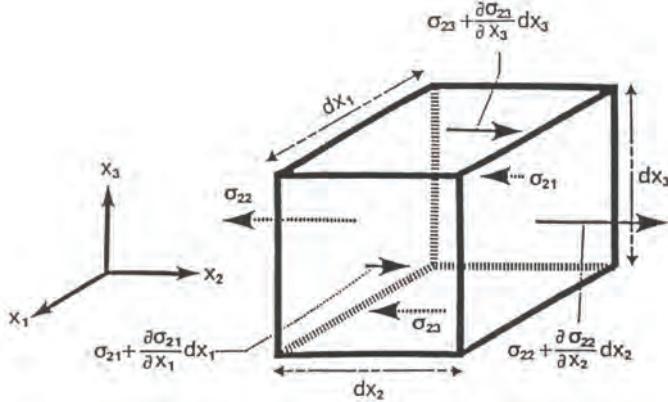


Figure 1.8 (from Geller, 1993)

<inertial force in the  $x_2$  direction>

$$\rho \ddot{u}_2 dx_1 dx_2 dx_3$$

<external force in the  $x_2$  direction>

$$f_2 dx_1 dx_2 dx_3$$

<stresses in the  $x_2$  direction>

$$\begin{aligned} & \sigma_{21}(x_1 + dx_1/2, x_2, x_3) dx_2 dx_3 - \sigma_{21}(x_1 - dx_1/2, x_2, x_3) dx_2 dx_3 \\ & + \sigma_{22}(x_1, x_2 + dx_2/2, x_3) dx_1 dx_3 - \sigma_{22}(x_1, x_2 - dx_2/2, x_3) dx_1 dx_3 \\ & + \sigma_{23}(x_1, x_2, x_3 + dx_3/2) dx_1 dx_2 - \sigma_{23}(x_1, x_2, x_3 - dx_3/2) dx_1 dx_2 \\ & = \sigma_{21,1} dx_1 dx_2 dx_3 + \sigma_{22,2} dx_1 dx_2 dx_3 + \sigma_{23,3} dx_1 dx_2 dx_3 \\ & = \sum_{j=1}^3 \sigma_{2j,j} dx_1 dx_2 dx_3 \end{aligned}$$

Thus, the dynamic equation of motion can be written as

$$\begin{aligned} \rho \ddot{u}_1 dx_1 dx_2 dx_3 &= \sum_{j=1}^3 \sigma_{1j,j} dx_1 dx_2 dx_3 + f_1 dx_1 dx_2 dx_3 \\ \rho \ddot{u}_2 dx_1 dx_2 dx_3 &= \sum_{j=1}^3 \sigma_{2j,j} dx_1 dx_2 dx_3 + f_2 dx_1 dx_2 dx_3 \\ \rho \ddot{u}_3 dx_1 dx_2 dx_3 &= \sum_{j=1}^3 \sigma_{3j,j} dx_1 dx_2 dx_3 + f_3 dx_1 dx_2 dx_3 \end{aligned}$$

or

$\rho \ddot{u}_i = \sum_{j=1}^3 \sigma_{ij,j} + f_i$

(3 equations for 9 unknowns)

### 1.3.3 Summary

$$\begin{aligned}\rho \ddot{u}_i &= \sum_{j=1}^3 \sigma_{ij,j} + f_i \\ \sigma_{ij} &= \sum_{k=1}^3 \sum_{l=1}^3 C_{ijkl} e_{kl} \\ e_{kl} &= \frac{1}{2} (u_{k,l} + u_{l,k})\end{aligned}$$

or

$$\rho \ddot{u}_i = \sum_{j=1}^3 \sum_{k=1}^3 \sum_{l=1}^3 (C_{ijkl} u_{k,l})_{,j} + f_i$$

(3 equations for 3 unknowns)

## 1.4 Boundary Conditions

<surface>

free surface conditions:

$$t_i = 0$$

for horizontal surfaces:

$$\sigma_{13} = \sigma_{23} = \sigma_{33} = 0$$

<solid-solid interfaces>

continuity of traction and displacement:

$$t_i^+ = t_i^-, \quad u_i^+ = u_i^-$$

for horizontal interfaces:

$$\sigma_{i3}^+ = \sigma_{i3}^-, \quad u_i^+ = u_i^-$$

<solid-liquid interfaces>

$$\sum_{j=1}^3 t_j^+ n_j = \sum_{j=1}^3 t_j^- n_j, \quad \sum_{j=1}^3 u_j^+ n_j = \sum_{j=1}^3 u_j^- n_j$$

$$t_i^+ - n_i \sum_{j=1}^3 t_j^+ n_j = 0$$

(<sup>+</sup> denote the solid side,  $n_i$  points to the liquid)

for horizontal interfaces:

$$t_3^+ = t_3^-, \quad u_3^+ = u_3^-,$$

$$t_1^+ = t_2^+ = 0$$

## 1.5 Useful Variables and Concepts

### 1.5.1 Stress Components in Different Coordinate System

e.g.)

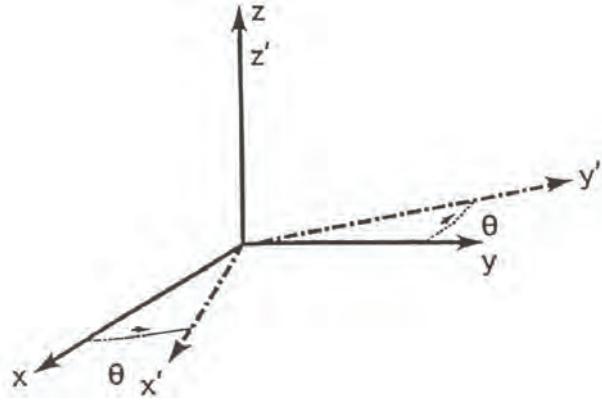


Figure 1.9 (from Geller, 1993)

$$\begin{aligned}\mathbf{a} &= x_1 \mathbf{e}_x + x_2 \mathbf{e}_y + x_3 \mathbf{e}_z \\ &= x'_1 \mathbf{e}'_{x'} + x'_2 \mathbf{e}'_{y'} + x'_3 \mathbf{e}'_{z'}\end{aligned}$$

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x'_1 \\ x'_2 \\ x'_3 \end{pmatrix}$$

$$\mathbf{x} = \mathbf{A} \mathbf{x}'$$

traction and normal vectors in the new and old coordinate system:

$$\mathbf{t} = \mathbf{A} \mathbf{t}', \quad \mathbf{n} = \mathbf{A} \mathbf{n}'$$

relations between stress tensors and traction:

$$\mathbf{t} = \sigma \mathbf{n}, \quad \mathbf{t}' = \sigma' \mathbf{n}'$$

Thus we have

$$\boxed{\sigma' = A^{-1} \sigma A}$$

For rotational coordinate transformations,

$$\boxed{\sigma' = A^T \sigma A}$$

e.g.)

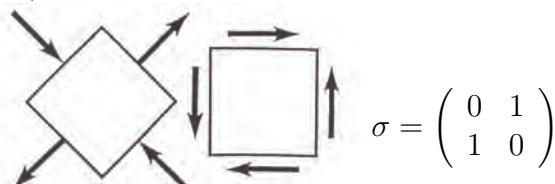
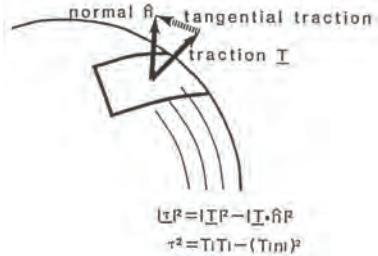


Figure 1.10  
(from Geller, 1993)

$$\begin{pmatrix} x'_1 \\ x'_2 \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{-1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

$$\begin{aligned}\text{Thus } \sigma' &= \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{-1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{-1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} \\ &= \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\end{aligned}$$

### 1.5.2 Principal Stresses



<tangential traction>  
components along the  
surface

<normal traction>  
component perpendicular to the surface

Figure 1.11 (from Geller, 1993)

<principal stress axes>  
normal vectors of the surfaces where tangential tractions are zero.

How to Find the Principal Axes and Stresses  
Principal axes  $\mathbf{n}$  and principal stresses  $\lambda$  satisfies

$$(t_i =) \sum_{j=1}^3 \sigma_{ij} n_j = \lambda n_i.$$

Thus, principal axes and stresses are eigenvectors and eigenvalues, respectively, of stress tensors  $\sigma$ , which are non-trivial solutions for the following equation

$$\sum_{j=1}^3 (\sigma_{ij} - \lambda \delta_{ij}) n_j = 0.$$

e.g.) principal axes and stresses for  $\sigma = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ .

$$|\sigma - \lambda \mathbf{I}| = \begin{vmatrix} -\lambda & 1 \\ 1 & -\lambda \end{vmatrix} = 0 \Leftrightarrow \lambda = 1, -1$$

$\begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}$  and  $\begin{pmatrix} -\frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}$  are eigenvectors

for  $\lambda = 1$  and  $\lambda = -1$ , respectively.

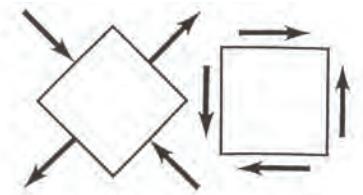


Figure 1.12 (from Geller, 1993)

e.g.)  $\sigma = \begin{pmatrix} 3 & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 3 \end{pmatrix}$

$$\begin{vmatrix} 3 - \lambda & -1 & 0 \\ -1 & 2 - \lambda & -1 \\ 0 & -1 & 3 - \lambda \end{vmatrix} = 0 \Leftrightarrow \lambda = 1, 3, 4$$

$\begin{pmatrix} \frac{1}{\sqrt{6}} \\ \frac{2}{\sqrt{6}} \\ \frac{1}{\sqrt{6}} \\ \frac{1}{\sqrt{6}} \end{pmatrix}$ ,  $\begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{0}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}$ , and  $\begin{pmatrix} \frac{1}{\sqrt{3}} \\ \frac{-1}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} \end{pmatrix}$  are eigenvectors.

### Stress and Traction in the Principal Axes

Consider we use coordinate system of the principal axes.

Stress tensors can be written as

$$\sigma = \begin{pmatrix} \lambda^{(1)} & 0 & 0 \\ 0 & \lambda^{(2)} & 0 \\ 0 & 0 & \lambda^{(3)} \end{pmatrix}$$

Traction on an arbitrary surface of the normal vector  $\mathbf{n}$  can be therefore written as

$$t_i = \lambda^{(i)} n_i.$$

### 1.5.3 Surface with Maximum Tangential Traction

Consider we use coordinate system of the principal axes.

Tangential traction  $\tau$  on a surface of the normal vector  $\mathbf{n}$  can be computed as

$$\begin{aligned} \tau^2 &= \sum_i t_i t_i - \left( \sum_i t_i n_i \right)^2 \\ &= \sum_i (\lambda^{(i)} n_i)^2 - \left( \sum_i \lambda^{(i)} n_i^2 \right)^2. \end{aligned}$$

$\begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \\ 0 \end{pmatrix}$ ,  $\begin{pmatrix} \frac{1}{\sqrt{2}} \\ 0 \\ \frac{1}{\sqrt{2}} \end{pmatrix}$ ,  $\begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}$  are ns to give local maxima of  $\tau$ .

If  $\lambda^{(1)} < \lambda^{(2)} < \lambda^{(3)}$ , global maxima is

$$\tau = \frac{\lambda^{(3)} - \lambda^{(1)}}{2}$$

on the surface of

$$\mathbf{n} = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ 0 \\ \frac{1}{\sqrt{2}} \end{pmatrix}.$$

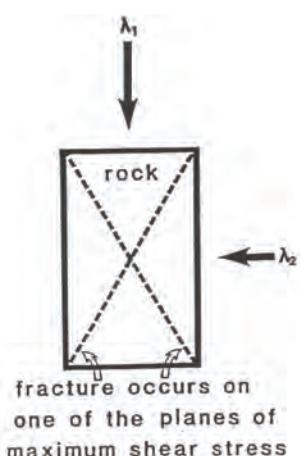


Figure 1.13 (from Geller, 1993)

### Insight to Faulting

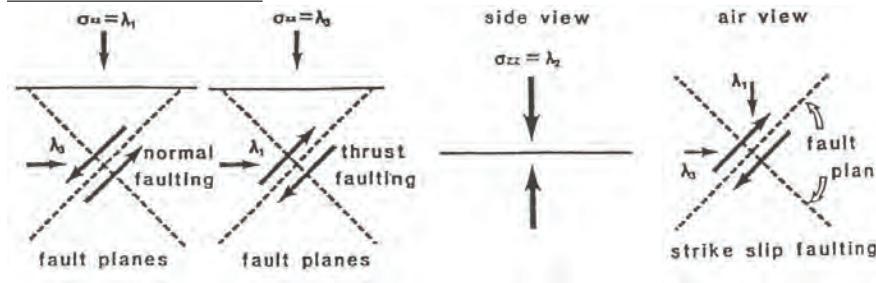


Figure 1.14 (from Geller, 1993)

$\lambda^{(1)}$	$\lambda^{(2)}$	$\lambda^{(3)}$	
v	h	h	normal faulting
h	h	v	thrust faulting
h	v	h	strike slip

v: vertical  
h: horizontal

### 1.5.4 Deviatoric Stresses

<mean stress>

$$P = \frac{1}{3} (\sigma_{11} + \sigma_{22} + \sigma_{33})$$

<deviatoric stress>

$$D_{ij} = \sigma_{ij} - P \delta_{ij},$$

which are deviations of the stress from the mean (compressional) stress.

### 1.5.5 Kinetic and Strain Energy

<kinetic energy  $T$ >

$$T = \frac{1}{2} \int \sum_i \dot{u}_i \rho \dot{u}_i dV$$

<strain energy  $W$ >

$$W = \frac{1}{2} \int \sum_i \sum_j \sum_k \sum_l e_{ij} C_{ijkl} e_{kl} dV$$

or

$$W = \frac{1}{2} \int \sum_i \sum_j \sum_k \sum_l u_{i,j} C_{ijkl} u_{k,l} dV$$

# Chapter 2

## Elastic Waves

### 2.1 Derivation of Elastic Wave Equation

Elastc Equation of Motion (without a source term):

$$\sum_j \sum_k \sum_l (C_{ijkl} u_{k,l})_{,j} - \rho \frac{\partial^2 u_i}{\partial t^2} = 0$$

For homogeneous isotropic media, we have

$$(\lambda + \mu) \nabla (\nabla \cdot \mathbf{u}) + \mu \nabla^2 \mathbf{u} - \rho \frac{\partial^2 \mathbf{u}}{\partial t^2} = 0.$$

Using  $\nabla^2 \mathbf{u} = \nabla (\nabla \cdot \mathbf{u}) - \nabla \times \nabla \times \mathbf{u}$ , we have

$$(\lambda + 2\mu) \nabla (\nabla \cdot \mathbf{u}) - \mu \nabla \times \nabla \times \mathbf{u} - \rho \frac{\partial^2 \mathbf{u}}{\partial t^2} = 0.$$

We represent the vector field  $\mathbf{u}$  by using a scalar potential  $\gamma$  and a vector potential  $\Psi$ :

$$\mathbf{u} = \nabla \gamma + \nabla \times \Psi.$$

Substituting the above representation, we have

$$(\lambda + 2\mu) \nabla (\nabla^2 \gamma) - \mu \nabla \times \nabla \times \nabla \times \Psi - \rho \frac{\partial^2}{\partial t^2} (\nabla \gamma + \nabla \times \Psi) = 0.$$

Rearranging terms, we have

$$\nabla \left[ (\lambda + 2\mu) \nabla^2 \gamma - \rho \frac{\partial^2 \gamma}{\partial t^2} \right] + \nabla \times \left[ \mu \nabla^2 \Psi - \rho \frac{\partial^2 \Psi}{\partial t^2} \right] = 0.$$

We thus have

$$(\lambda + 2\mu) \nabla^2 \gamma - \rho \frac{\partial^2 \gamma}{\partial t^2} = 0, \quad \mu \nabla^2 \Psi - \rho \frac{\partial^2 \Psi}{\partial t^2} = 0,$$

or

$$\nabla^2 \gamma - \frac{1}{\alpha^2} \frac{\partial^2 \gamma}{\partial t^2} = 0, \quad \nabla^2 \Psi - \frac{1}{\beta^2} \frac{\partial^2 \Psi}{\partial t^2} = 0,$$

where

$$\alpha = \sqrt{\frac{\lambda + 2\mu}{\rho}}, \quad \beta = \sqrt{\frac{\mu}{\rho}}.$$

## 2.2 The Wave Equation

<one dimensional scalar wave equation> (in a homogeneous medium)

$$\frac{\partial^2 \gamma(x, t)}{\partial x^2} - \frac{1}{v^2} \frac{\partial^2 \gamma}{\partial t^2}(x, t) = 0$$

General solutions:

$$\gamma(x, t) = A f(t + x/v) + B g(t - x/v)$$

e.g.)

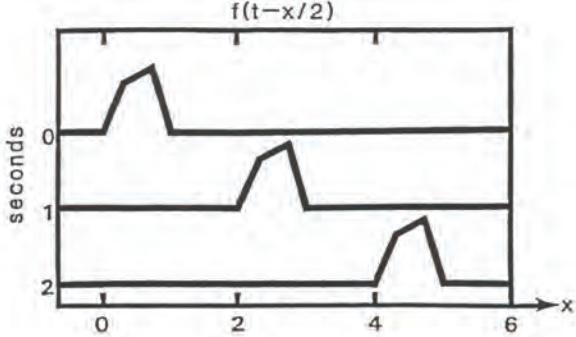


Figure 2.1 (from Geller, 1993)

<useful form of solutions>

a monochromatic (single frequency) wave

$$\begin{aligned}\gamma &= A \exp(i\omega(t \pm x/v)) \\ &= A \exp(i(\omega t \pm kx)) \\ &= A \exp\left(2\pi i\left(\frac{t}{T} \pm \frac{x}{\lambda}\right)\right)\end{aligned}$$

<Commonly Used Wave Variables>

	units	
Velocity	m/s	$v = \omega/k = f\lambda$
Frequency	1/s	$f = \omega/(2\pi) = 1/T = v/\lambda$
Angular Frequency	1/s	$\omega = 2\pi f = 2\pi/T$
Period	s	$T = 2\pi/\omega = 1/f$
Wavelength	m	$\lambda = 2\pi/k = v/f = vT$
Wavenumber	1/m	$k = 2\pi/\lambda = \omega/v$

<3-D scalar wave equation> (in a homogeneous medium)

$$\nabla^2 \gamma(\mathbf{x}, t) - \frac{1}{\alpha^2} \frac{\partial^2 \gamma}{\partial t^2}(\mathbf{x}, t) = 0$$

a monochromatic (single frequency) plane wave

$$\gamma(\mathbf{x}, t) = A \exp(i(\omega t \pm \mathbf{k} \cdot \mathbf{x})), \quad |\mathbf{k}| = \omega/\alpha$$

<3-D vector wave equation> (in a homogeneous medium)

$$\nabla^2 \Psi(\mathbf{x}, t) - \frac{1}{\beta^2} \frac{\partial^2 \Psi}{\partial t^2}(\mathbf{x}, t) = 0$$

a monochromatic (single frequency) plane wave

$$\Psi(\mathbf{x}, t) = \mathbf{A} \exp(i(\omega t \pm \mathbf{k} \cdot \mathbf{x})), \quad |\mathbf{k}| = \omega/\beta$$

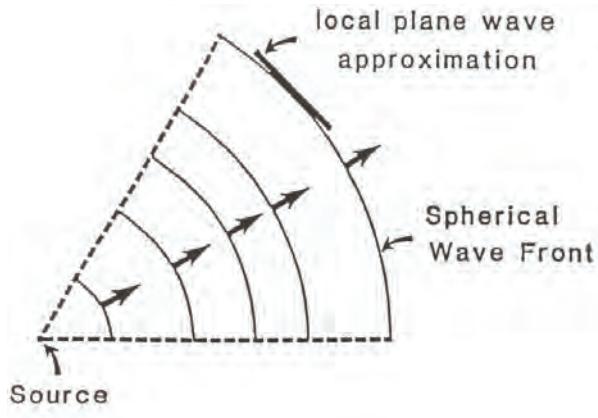


Figure 2.2 (from Geller, 1993)

## 2.3 P and S Waves

<P wave>

$$\nabla^2 \gamma - \frac{1}{\alpha^2} \frac{\partial^2 \gamma}{\partial t^2} = 0, \quad \alpha = \sqrt{\frac{\lambda + 2\mu}{\rho}}.$$

a plane wave traveling in the  $+z$  direction

$$\gamma(z, t) = A \exp(i(\omega t - kz)), \quad k = \omega/\alpha$$

$$\mathbf{u} = \nabla \gamma = (0, 0, -ikA) \exp(i(\omega t - kz))$$

The displacement is along the propagation direction.

<S wave>

$$\nabla^2 \Psi - \frac{1}{\beta^2} \frac{\partial^2 \Psi}{\partial t^2} = 0, \quad \beta = \sqrt{\frac{\mu}{\rho}}.$$

a plane wave traveling in the  $+z$  direction

$$\Psi(z, t) = \mathbf{A} \exp(i(\omega t - kz)), \quad k = \omega/\beta$$

$$\mathbf{u} = \nabla \times \Psi = (ikA_y, -ikA_x, 0) \exp(i(\omega t - kz))$$

The displacement along the propagation direction is zero.

$$\theta = \nabla \cdot \mathbf{u} = 0$$

S wave causes no volume change.

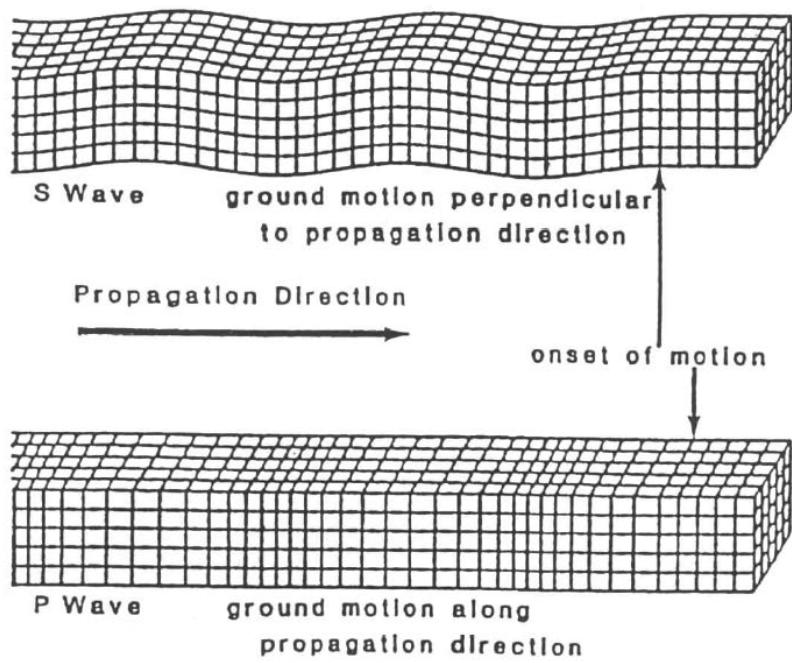


Figure 2.3 (from Geller, 1993)

<SV and SH waves>

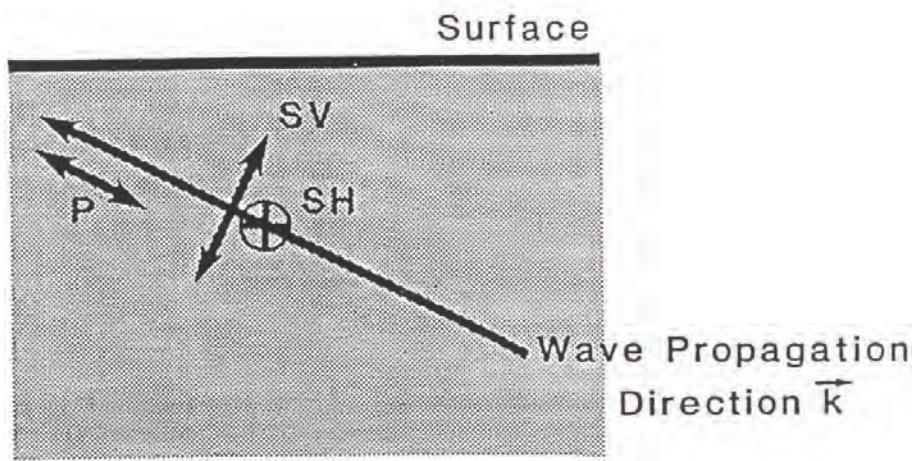


Figure 2.4 (from Geller, 1993)

SV: component along a vertical plane that is parallel to the wave number vector  
SH: component perpendicular to the vertical plane

# Chapter 3

## Reflection and Refraction

### 3.1 SNELL'S LAW

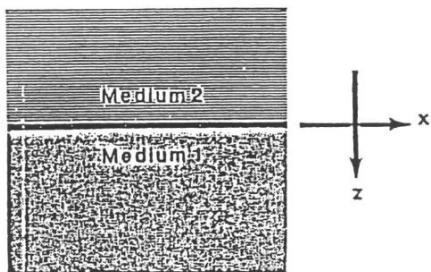


Figure 3.1 (from Geller, 1993)

#### Apparent Velocity

$$c_x = \frac{v}{\sin i} = \frac{\omega}{k_x} \quad (i: \text{incident angle})$$

#### Snell's Law

$$c_x = \frac{\alpha_1}{\sin i_1} = \frac{\beta_1}{\sin j_1} = \frac{\alpha_2}{\sin i_2} = \frac{\beta_2}{\sin j_2}$$

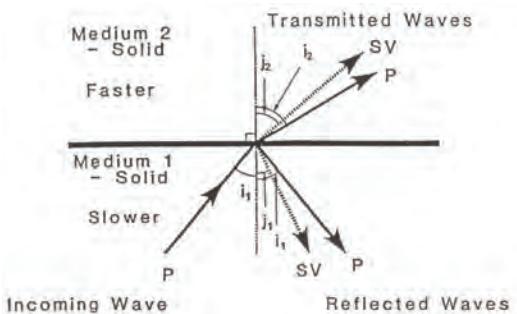


Figure 3.2 (from Geller, 1993)

#### Ray Parameter

$$p = \frac{1}{c_x} = \frac{\sin i}{v}$$

- is often more useful than the apparent velocity
- $p$  is always constant for any ray in a laterally homogeneous medium

### Critical Angle

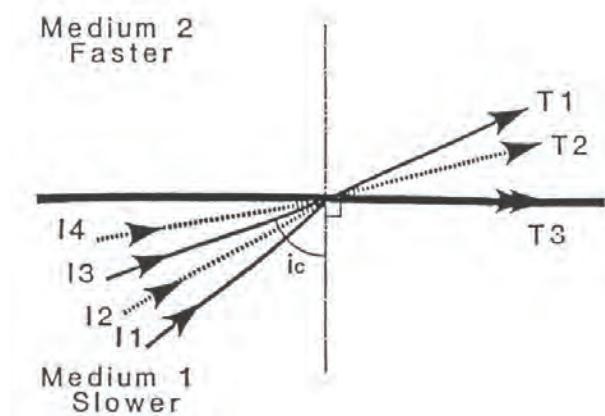


Figure 3.3 (from Geller, 1993)

$$i_2 = \sin^{-1} \left[ \frac{\alpha_2}{\alpha_1} (\sin i_1) \right] \text{ when } \frac{\alpha_2}{\alpha_1} (\sin i_1) < 1.$$

$$\sin i_c = \frac{\alpha_1}{\alpha_2} \quad (i_c : \text{critical angle}).$$

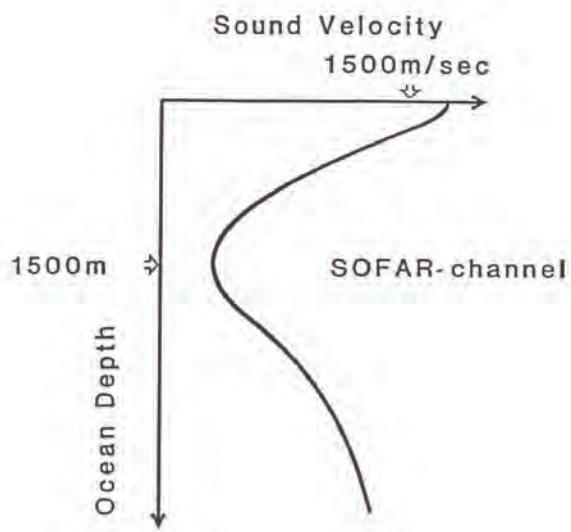


Figure 3.4 (from Geller, 1993)

## 3.2 Reflection and Transmission Coefficients

### SH Waves

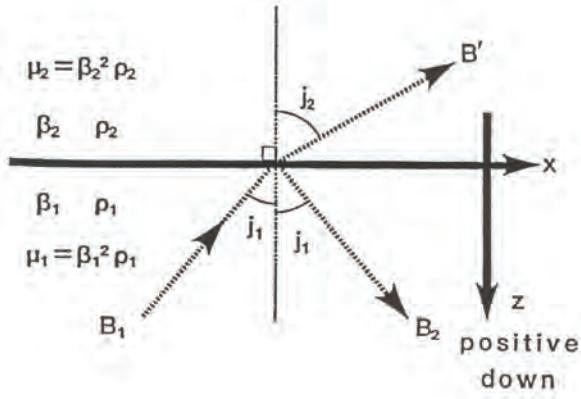


Figure 3.5 (from Geller, 1993)

$$\begin{aligned} u_y^1 &= \dot{B}_1 \exp(i(\omega t - k_x x + k_z^1 z)) + \dot{B}_1 \exp(i(\omega t - k_x x - k_z^1 z)) \\ u_y^2 &= \dot{B}_2 \exp(i(\omega t - k_x x + k_z^2 z)) \end{aligned}$$

$$\rho_1 \omega^2 = \mu_1 (k_x^2 + k_z^{12}), \quad \rho_2 \omega^2 = \mu_2 (k_x^2 + k_z^{22})$$

Boundary conditions at  $z = 0$ :

<displacement continuity>

$$\dot{B}_1 \exp(i(\omega t - k_x x)) + \dot{B}_1 \exp(i(\omega t - k_x x)) = \dot{B}_2 \exp(i(\omega t - k_x x))$$

<traction continuity>

$$\begin{aligned} &ik_z^1 \mu_1 \dot{B}_1 \exp(i(\omega t - k_x x)) - ik_z^1 \mu_1 \dot{B}_1 \exp(i(\omega t - k_x x)) \\ &= ik_z^2 \mu_2 \dot{B}_2 \exp(i(\omega t - k_x x)) \end{aligned}$$

Transmission Coefficient

$$T = \frac{\dot{B}_2}{\dot{B}_1} = \frac{2\mu_1 k_z^1}{\mu_1 k_z^1 + \mu_2 k_z^2}$$

Reflection Coefficient

$$R = \frac{\dot{B}_1}{\dot{B}_2} = \frac{\mu_1 k_z^1 - \mu_2 k_z^2}{\mu_1 k_z^1 + \mu_2 k_z^2}$$

or

$$T = \frac{2\rho_1 \beta_1 \cos j_1}{\rho_1 \beta_1 \cos j_1 + \rho_2 \beta_2 \cos j_2}$$

$$R = \frac{\rho_1 \beta_1 \cos j_1 - \rho_2 \beta_2 \cos j_2}{\rho_1 \beta_1 \cos j_1 + \rho_2 \beta_2 \cos j_2}$$

SH waves at vertical incidence

$$T = \frac{2\rho_1 \beta_1}{\rho_1 \beta_1 + \rho_2 \beta_2}$$

$$R = \frac{\rho_1 \beta_1 - \rho_2 \beta_2}{\rho_1 \beta_1 + \rho_2 \beta_2}$$

$\rho\beta$ : acoustic impedance

### Free Surface

$$\mu_2 = \beta_2 = 0$$

$$R = 1$$

### Post-Critical Waves

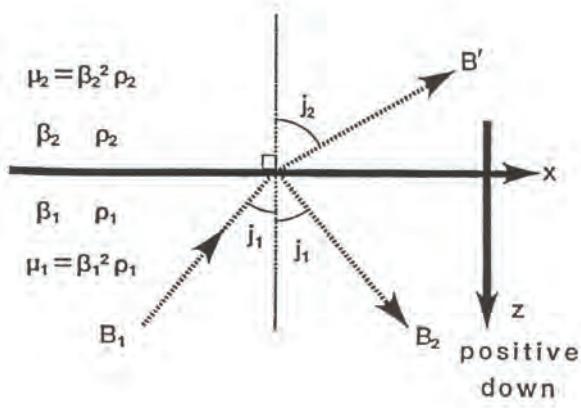


Figure 3.6 (from Geller, 1993)

$$\begin{aligned} u_y^1 &= \dot{B}_1 \exp(i(\omega t - k_x x + k_z^1 z)) + \dot{\bar{B}}_1 \exp(i(\omega t - k_x x - k_z^1 z)) \\ u_y^2 &= \dot{B}_2 \exp(i(\omega t - k_x x) + k_z^{2*} z) \end{aligned}$$

$$\rho_1 \omega^2 = \mu_1 (k_x^2 + k_z^{12}), \quad \rho_2 \omega^2 = \mu_2 (k_x^2 - k_z^{2*2})$$

Boundary conditions at  $z = 0$ :

<displacement continuity>

$$\dot{B}_1 \exp(i(\omega t - k_x x)) + \dot{\bar{B}}_1 \exp(i(\omega t - k_x x)) = \dot{B}_2 \exp(i(\omega t - k_x x))$$

<traction continuity>

$$\begin{aligned} &ik_z^1 \mu_1 \dot{B}_1 \exp(i(\omega t - k_x x)) - ik_z^1 \mu_1 \dot{\bar{B}}_1 \exp(i(\omega t - k_x x)) \\ &= k_z^{2*} \mu_2 \dot{B}_2 \exp(i(\omega t - k_x x)) \end{aligned}$$

### Transmission Coefficient

$$T = \frac{\dot{B}_2}{\dot{B}_1} = \frac{2\mu_1 k_z^1}{\mu_1 k_z^1 - i\mu_2 k_z^{2*}}$$

### Reflection Coefficient

$$R = \frac{\dot{B}_1}{\dot{B}_2} = \frac{\mu_1 k_z^1 + i\mu_2 k_z^{2*}}{\mu_1 k_z^1 - i\mu_2 k_z^{2*}} \quad (|R| = 1)$$

- The amplitude of the transmitted wave decays as the distance from the interface increases.
- no vertical energy transportation in the upper layer
- phase shift in the reflected wave

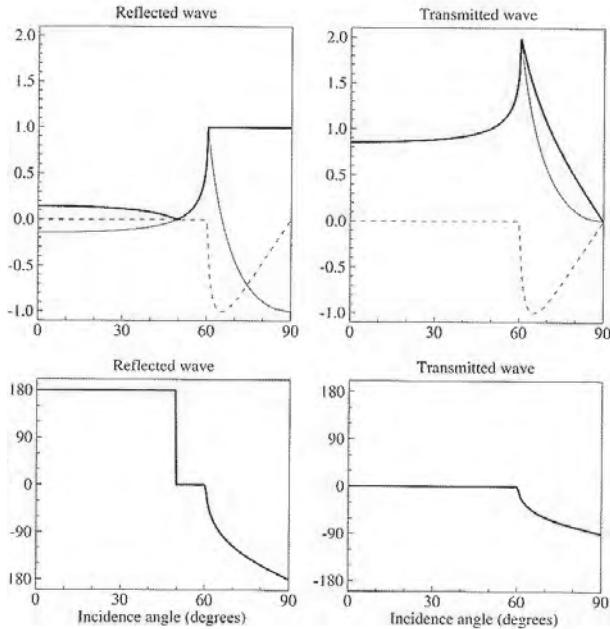


Figure 3.7 (from Shearer, P.M., 1999)

### P-SV Waves

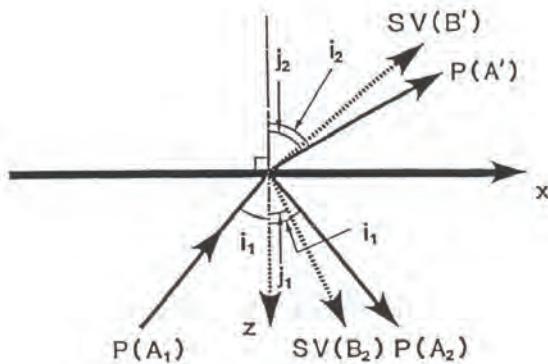


Figure 3.8 (from Geller, 1993)

$$\mathbf{u} = \nabla \gamma + \nabla \times \begin{pmatrix} 0 \\ \Psi \\ 0 \end{pmatrix}$$

$$\begin{aligned}\gamma^1 &= \dot{A}_1 \exp\left(i(\omega t - k_x x + k_{z\alpha}^1 z)\right) + \dot{\bar{A}}_1 \exp\left(i(\omega t - k_x x - k_{z\alpha}^1 z)\right) \\ \gamma^2 &= \dot{A}_2 \exp\left(i(\omega t - k_x x + k_{z\alpha}^2 z)\right)\end{aligned}$$

$$\begin{aligned}\Psi^1 &= \dot{B}_1 \exp\left(i(\omega t - k_x x - k_{z\beta}^1 z)\right) \\ \Psi^2 &= \dot{B}_2 \exp\left(i(\omega t - k_x x + k_{z\beta}^2 z)\right)\end{aligned}$$

$$\begin{aligned}\rho_1 \omega^2 &= (\lambda_1 + 2\mu_1) (k_x^2 + k_{z\alpha}^{1,2}) \\ \rho_2 \omega^2 &= (\lambda_2 + 2\mu_2) (k_x^2 + k_{z\alpha}^{2,2}) \\ \rho_1 \omega^2 &= \mu_1 (k_x^2 + k_{z\beta}^{1,2}) \\ \rho_2 \omega^2 &= \mu_2 (k_x^2 + k_{z\beta}^{2,2})\end{aligned}$$

$$\begin{aligned}u_x^1 &= \frac{\partial \gamma^1}{\partial x} - \frac{\partial \Psi^1}{\partial z}, \quad u_z^1 = \frac{\partial \gamma^1}{\partial z} + \frac{\partial \Psi^1}{\partial x} \\ u_x^2 &= \frac{\partial \gamma^2}{\partial x} - \frac{\partial \Psi^2}{\partial z}, \quad u_z^2 = \frac{\partial \gamma^2}{\partial z} + \frac{\partial \Psi^2}{\partial x}\end{aligned}$$

$$\begin{aligned}\sigma_{xz}^1 &= \mu_1 \left( 2 \frac{\partial^2 \gamma^1}{\partial x \partial z} - \frac{\partial^2 \Psi^1}{\partial z^2} + \frac{\partial^2 \Psi^1}{\partial x^2} \right) \\ \sigma_{zz}^1 &= \lambda_1 \nabla^2 \gamma^1 + 2\mu_1 \left( \frac{\partial^2 \gamma^1}{\partial z^2} + \frac{\partial^2 \Psi^1}{\partial x \partial z} \right) \\ \sigma_{xz}^2 &= \mu_2 \left( 2 \frac{\partial^2 \gamma^2}{\partial x \partial z} - \frac{\partial^2 \Psi^2}{\partial z^2} + \frac{\partial^2 \Psi^2}{\partial x^2} \right) \\ \sigma_{zz}^2 &= \lambda_2 \nabla^2 \gamma^2 + 2\mu_2 \left( \frac{\partial^2 \gamma^2}{\partial z^2} + \frac{\partial^2 \Psi^2}{\partial x \partial z} \right)\end{aligned}$$

Boundary conditions at  $z = 0$ :

<displacement>

$$\begin{aligned}-ik_x \dot{A}_1 - ik_x \dot{A}_1 + ik_{z\beta}^1 \dot{B}_1 &= -ik_x \dot{A}_2 - ik_{z\beta}^2 \dot{B}_2 \\ ik_{z\alpha}^1 \dot{A}_1 - ik_{z\alpha}^2 \dot{A}_1 - ik_x \dot{B}_1 &= ik_{z\alpha}^2 \dot{A}_2 - ik_x \dot{B}_2\end{aligned}$$

<traction>

$$\begin{aligned}&\mu_1 \left\{ 2(-ik_x) (ik_{z\alpha}^1) \dot{A}_1 + 2(-ik_x) (-ik_{z\alpha}^1) \dot{A}_1 \right. \\ &\quad \left. - (-ik_{z\beta}^1) (-ik_{z\beta}^1) \dot{B}_1 + (-ik_x) (-ik_x) \dot{B}_1 \right\} \\ &= \mu_2 \left\{ 2(-ik_x) (ik_{z\alpha}^2) \dot{A}_2 - (ik_{z\beta}^2) (ik_{z\beta}^2) \dot{B}_2 + (-ik_x) (-ik_x) \dot{B}_2 \right\} \\ &\lambda_1 \left\{ (-ik_x) (-ik_x) \dot{A}_1 + (ik_{z\alpha}^1) (ik_{z\alpha}^1) \dot{A}_1 \right. \\ &\quad \left. + (-ik_x) (-ik_x) \dot{A}_1 + (-ik_{z\alpha}^1) (-ik_{z\alpha}^1) \dot{A}_1 \right\} \\ &+ 2\mu_1 \left\{ (ik_{z\alpha}^1) (ik_{z\alpha}^1) \dot{A}_1 + (-ik_{z\alpha}^1) (-ik_{z\alpha}^1) \dot{A}_1 + (-ik_x) (-ik_{z\beta}^1) \dot{B}_1 \right\} \\ &= \lambda_2 \left\{ (-ik_x) (-ik_x) \dot{A}_2 + (ik_{z\alpha}^2) (ik_{z\alpha}^2) \dot{A}_2 \right\} \\ &+ 2\mu_2 \left\{ (ik_{z\alpha}^2) (ik_{z\alpha}^2) \dot{A}_2 + (-ik_x) (ik_{z\beta}^2) \dot{B}_2 \right\}\end{aligned}$$

In detail, these formulas make repeated use of the variables

$$\begin{aligned} a &= \rho_2(1 - 2\beta_2^2 p^2) - \rho_1(1 - 2\beta_1^2 p^2), & b &= \rho_2(1 - 2\beta_2^2 p^2) + 2\rho_1\beta_1^2 p^2, \\ c &= \rho_1(1 - 2\beta_1^2 p^2) + 2\rho_2\beta_2^2 p^2, & d &= 2(\rho_2\beta_2^2 - \rho_1\beta_1^2), \end{aligned}$$

and repeated use also of the cosine-dependent terms

$$\begin{aligned} E &= b \frac{\cos i_1}{\alpha_1} + c \frac{\cos i_2}{\alpha_2}, & F &= b \frac{\cos j_1}{\beta_1} + c \frac{\cos j_2}{\beta_2}, \\ G &= a - d \frac{\cos i_1}{\alpha_1} \frac{\cos j_2}{\beta_2}, & H &= a - d \frac{\cos i_2}{\alpha_2} \frac{\cos j_1}{\beta_1}, \\ D &= EF + GH p^2 = (\det \mathbf{M}) / (\alpha_1 \alpha_2 \beta_1 \beta_2) \end{aligned}$$

The main formulas are

$$\begin{aligned} \dot{P}\acute{P} &= \left[ \left( b \frac{\cos i_1}{\alpha_1} - c \frac{\cos i_2}{\alpha_2} \right) F - \left( a + d \frac{\cos i_1 \cos j_2}{\alpha_1 \beta_2} \right) H p^2 \right] / D, \\ \dot{P}\acute{S} &= -2 \frac{\cos i_1}{\alpha_1} \left( ab + cd \frac{\cos i_2 \cos j_2}{\alpha_2 \beta_2} \right) p \alpha_1 / (\beta_1 D), \\ \dot{P}\grave{P} &= 2\rho_1 \frac{\cos i_1}{\alpha_1} F \alpha_1 / (\alpha_2 D), \\ \dot{P}\grave{S} &= 2\rho_1 \frac{\cos i_1}{\alpha_1} H p \alpha_1 / (\beta_2 D), \\ \dot{S}\acute{P} &= -2 \frac{\cos j_1}{\beta_1} \left( ab + cd \frac{\cos i_2 \cos j_2}{\alpha_2 \beta_2} \right) p \beta_1 / (\alpha_1 D), \\ \dot{S}\acute{S} &= - \left[ \left( b \frac{\cos j_1}{\beta_1} - c \frac{\cos j_2}{\beta_2} \right) E - \left( a + d \frac{\cos i_2 \cos j_1}{\alpha_2 \beta_1} \right) G p^2 \right] / D, \\ \dot{S}\grave{P} &= -2\rho_1 \frac{\cos j_1}{\beta_1} G p \beta_1 / (\alpha_2 D), \\ \dot{S}\grave{S} &= 2\rho_1 \frac{\cos j_1}{\beta_1} E \beta_1 / (\beta_2 D), \\ \acute{P}\acute{P} &= 2\rho_2 \frac{\cos i_2}{\alpha_2} F \alpha_2 / (\alpha_1 D), \\ \acute{P}\acute{S} &= -2\rho_2 \frac{\cos i_2}{\alpha_2} G p \alpha_2 / (\alpha_2 D), \\ \acute{P}\grave{P} &= - \left[ \left( b \frac{\cos i_1}{\alpha_1} - c \frac{\cos i_2}{\alpha_2} \right) F + \left( a + d \frac{\cos i_2 \cos j_1}{\alpha_2 \beta_1} \right) G p^2 \right] / D, \\ \acute{P}\grave{S} &= 2 \frac{\cos i_2}{\alpha_2} \left( ac + bd \frac{\cos i_1 \cos j_1}{\alpha_1 \beta_1} \right) p \alpha_2 / (\beta_2 D), \\ \acute{S}\acute{P} &= 2\rho_2 \frac{\cos j_2}{\beta_2} H p \beta_2 / (\alpha_1 D), \\ \acute{S}\grave{S} &= 2\rho_2 \frac{\cos j_2}{\beta_2} E \beta_2 / (\beta_1 D), \end{aligned}$$

$$\begin{aligned}
\dot{S}\dot{P} &= 2 \frac{\cos j_2}{\beta_2} \left( ac + bd \frac{\cos i_1 \cos j_1}{\alpha_1 \beta_1} \right) p \beta_2 / (\alpha_2 D), \\
\dot{S}\dot{S} &= \left[ \left( b \frac{\cos j_1}{\beta_1} - c \frac{\cos j_2}{\beta_2} \right) E + \left( a + d \frac{\cos i_1 \cos j_2}{\alpha_1 \beta_2} \right) H p^2 \right] / D
\end{aligned}$$

“Quantitative Seismology” by Aki & Richards

# Chapter 4

## Surface Waves

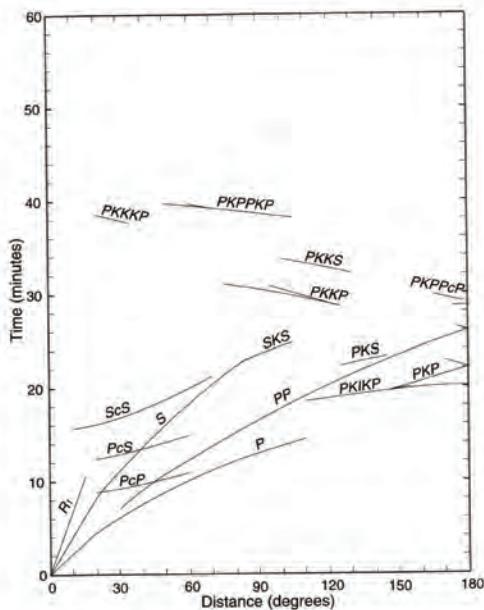


Fig. 4.19. A key to the phases visible in the short-period stack plotted in Fig. 4.18. Travel time curves are calculated using the IASP91 velocity model (Kennett and Engdahl, 1991). (From: Astiz et al., 1996.)

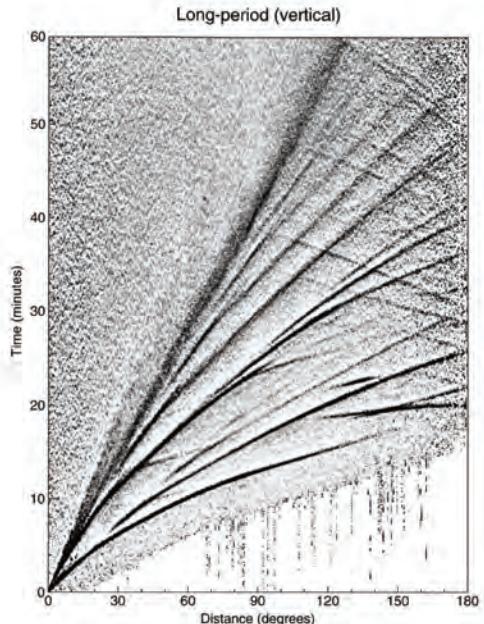


Fig. 4.20. A stack of long-period (>10 s), vertical component data from the global networks between 1988 to 1994. See Figure 4.23 for a key to the phase names. (From Astiz et al., 1996.)

Figure 4.1 (from Shearer, P.M., 1999)

We try to find the waves

- propagating in the horizontal direction
- satisfying both the free surface conditions and the elastic equation of motion

### Rayleigh Waves in a Homogeneous Halfspace

Horizontally propagating waves:

$$\begin{aligned}\gamma &= A \exp(i(\omega t - k_x x) - k_{z\alpha} z) \\ \Psi &= B \exp(i(\omega t - k_x x) - k_{z\beta} z)\end{aligned}$$

Free surface boundary conditions:

$$\mu \{ 2(-ik_x)(-k_{z\alpha})A - (-k_{z\beta})(-k_{z\beta})B + (-ik_x)(-ik_x)B \} = 0$$

$$\begin{aligned} & \lambda \{(-ik_x)(-ik_x)A + (-k_{z\alpha})(-k_{z\alpha})A\} \\ & + 2\mu \{(-k_{z\alpha})(-k_{z\alpha})A + (-ik_x)(-k_{z\beta})B\} = 0 \end{aligned}$$

$$\begin{pmatrix} 2ik_x k_{z\alpha} \mu & -\left(k_x^2 + k_{z\beta}^2\right) \mu \\ -k_x^2 \lambda + k_{z\alpha}^2 (\lambda + 2\mu) & 2ik_x k_{z\beta} \mu \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

To have non-trivial solutions, we need

$$\begin{vmatrix} 2ik_x k_{z\alpha} \mu & -\left(k_x^2 + k_{z\beta}^2\right) \mu \\ -k_x^2 \lambda + k_{z\alpha}^2 (\lambda + 2\mu) & 2ik_x k_{z\beta} \mu \end{vmatrix} = 0.$$

After tedious mathematics, we have

$$\left(2 - \frac{c_x^2}{\beta^2}\right)^2 - 4 \left(1 - \frac{c_x^2}{\alpha^2}\right)^{1/2} \left(1 - \frac{c_x^2}{\beta^2}\right)^{1/2} = 0.$$

For the medium with  $\lambda = \mu$  (i.e.  $\alpha = \sqrt{3}\beta$ ), we have

$$c_x = \frac{2}{\sqrt{3 + \sqrt{3}}} = 0.9194\beta$$

The apparent velocity of the Rayleigh wave is slightly less than the shear velocity.  
(for a homogeneous halfspace with  $\lambda = \mu$ )

### Rayleigh Wave Displacements

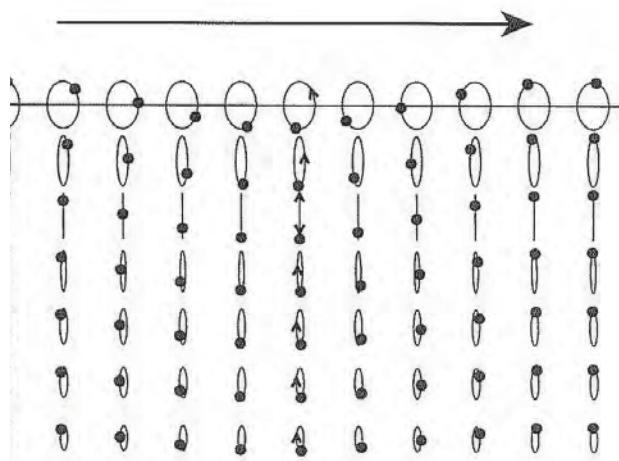


Figure 4.2 (from Shearer, P.M., 1999)

### Type of Surface Wave

P-SV surface wave: Rayleigh wave

SH surface wave: Love wave

Generally speaking,

Love wave speed > Rayleigh wave speed

## Dispersion

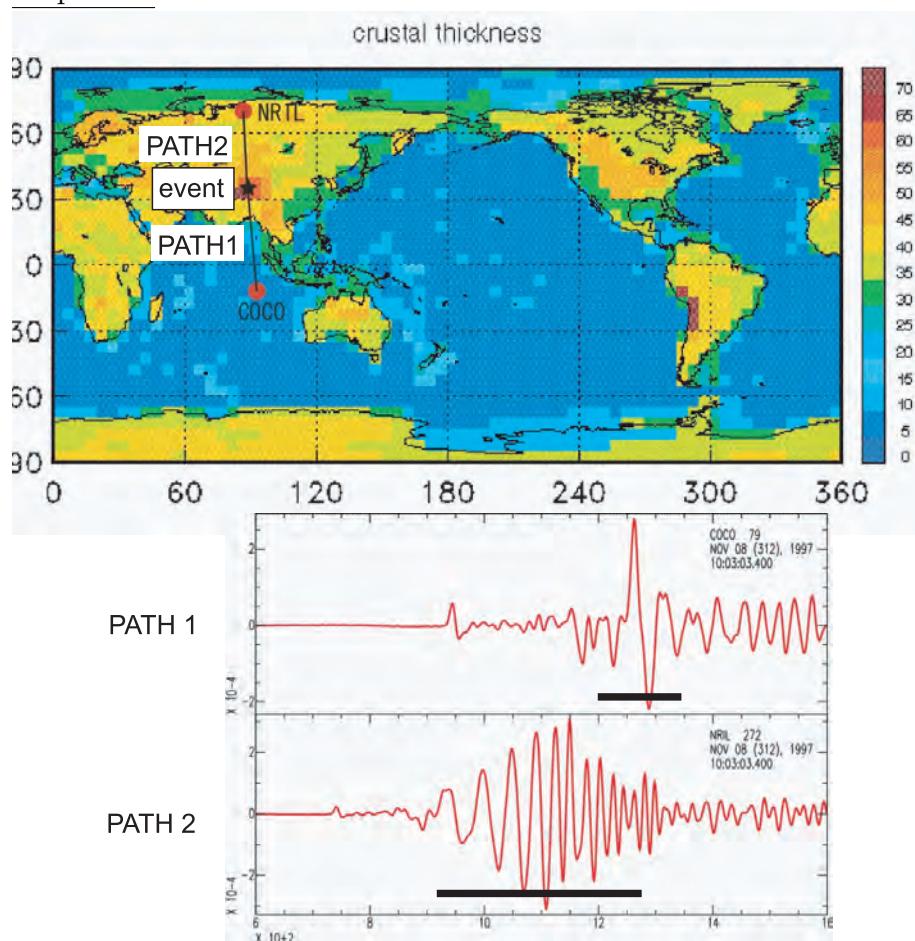


Figure 4.3

# References

1. Astiz, L., P. Earle and P. Shearer (1996). Global stacking of broadband seismograms, *Seismol. Res. Lett.*, 67, 8-18.
2. Geller, R.J. (1993). Theory of Elasticity and Its Application to Seismology, IISER Lecture Notes
3. Shearer,P.M. (1999). Introduction to Seismology, Cambridge University Press